

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert N. Goman et al.

Title: SMART CARD PERSONALIZATION IN A MULTISTATION ENVIRONMENT

Docket No.: 457.010US3

Serial No.: 10/693,836

Filed: October 23, 2003

Due Date: August 16, 2004

Examiner: Karl Frech

Group Art Unit: 2876

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

We are transmitting herewith the attached:

- ☒ Communication Re: Missing Parts (1 pg.).
- ☒ Authorization to charge Deposit Account 19-0743 the amount of \$65.00 to cover the small entity surcharge.
- ☒ Authorization to charge Deposit Account 19-0743 the amount of \$586.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ Petition for Five-Month Extension of Time (1 pg.).
- ☒ Authorization to charge Deposit Account 19-0743 the amount of \$1005.00 to cover the Extension of Time Fee.
- ☒ Notice to File Missing Parts (2 pgs.).
- ☒ A return postcard.

**Applicant claims small entity status under 37 C.F.R. 1.27.**

**Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.**

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number: 21186

By: Ann M. McCrackin  
Name: Ann M. McCrackin  
Reg. No. 42,858

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 16th day of August, 2004

Peter Rebuffoni  
Name

Peter Rebuffoni  
Signature



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/693,836	10/23/2003	Robert Neal Goman	457.010US3

CONFIRMATION NO. 1017

## FORMALITIES LETTER



\*OC000000011705976\*

21186

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
 P.O. BOX 2938  
 MINNEAPOLIS, MN 55402

Date Mailed: 01/16/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

08/20/2004 STEUMEL1 00000061 190743 10693836

## Filing Date Granted

01 FC:2001	385.00 DA
02 FC:2202	72.00 DA
03 FC:2201	129.00 DA
04 FC:2051	65.00 DA

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

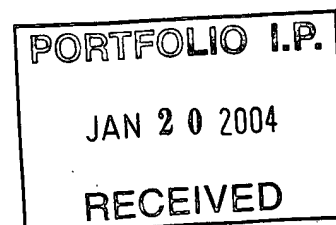
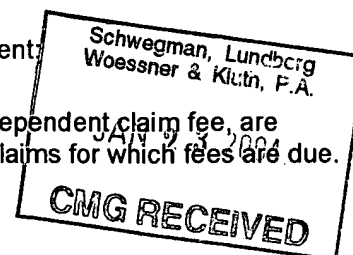
The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$201 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$651 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$201
  - \$129 for 3 independent claims over 3.

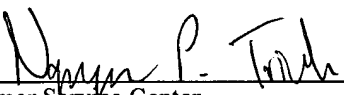


- \$72 for 8 total claims over 20.

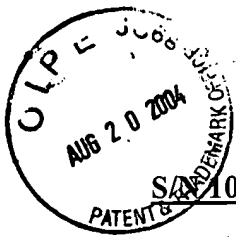
Replies should be mailed to: Mail Stop Missing Parts  
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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



S/N 10/693,836

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Robert N. Goman et al.	Examiner:	Not yet assigned
Serial No.:	10/693,836	Group Art Unit:	2876
Filed:	October 23, 2003	Docket:	457.010US3
Customer No.:	21186	Confirmation No.:	1017
Title:	SMART CARD PERSONALIZATION IN A MULTISTATION ENVIRONMENT		

COMMUNICATION RE: MISSING PARTS

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Alexandria, VA 22313-1450

In response to the "Notice to File Missing Parts" (see enclosed copy), please charge Deposit Account 19-0743 the amount of \$65.00 to cover the Small entity surcharge and the amount of \$586.00 to cover the small entity basic filing fee and additional claims fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 349-9592.

If necessary, please charge any additional fees or credit overpayment to Deposit Account 19-0743.

Respectfully submitted,  
ROBERT N. GOMAN ET AL.

By their Representatives,  
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 349-9592

Date Aug. 16, 2004

By Ann M. McCrackin  
Ann M. McCrackin  
Reg. No. 42,858

**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 16<sup>th</sup> day of August, 2004

Peter Robinson  
Name

Peter Robinson  
Signature